

A brief introduction to varifolds (Ref: L. Simon's book on GMT Allard ~ '60s)

currents VS varifolds

Currents

- k -currents dual to k -forms
- generalized oriented k -submfd
- notion of boundary ∂
- cptness result
- * mass \mathbb{M} is lower semi-cts

Varifolds

- ✓ k -varifold dual to fcn on k -Grassmannian
- ✓ generalized un-oriented k -submfd
- notion of 1st variation \rightsquigarrow "stationary varifold"
- ✓ cptness result
- * mass is continuous

Recall: The k -Grassmannian in \mathbb{R}^n is

$$Gr(k, n) := \{ V^k \subseteq \mathbb{R}^n : k\text{-dim'd (un-oriented) subspace} \}$$

(E.g.) $Gr(1, n) = \mathbb{R}P^{n-1}$

compact

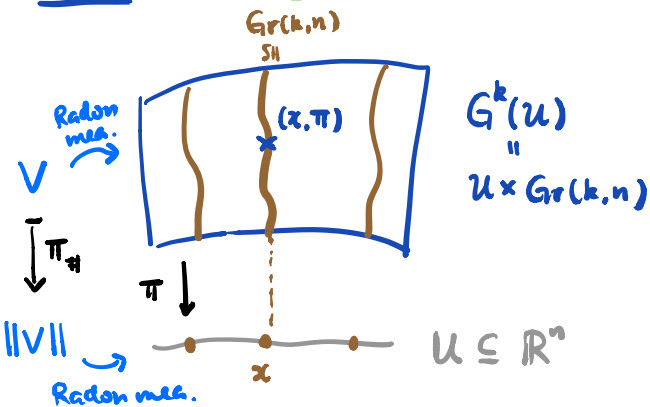
For any open set $U \subseteq \mathbb{R}^n$, denote

$$G^k(U) := U \times Gr(k, n)$$

k -Grassmannian bundle over $U \subseteq \mathbb{R}^n$.

Remark: Also works in manifolds, one way to define it using isometric embedding $M^n \hookrightarrow \mathbb{R}^N$.

Defⁿ: A k -varifold V in $U \subseteq \mathbb{R}^n$ is a Radon measure on $G^k(U)$.



Motivation: $\Sigma^k \subseteq U$ k -submfd smooth

$$x \in \Sigma \rightsquigarrow T_x \Sigma \in Gr(k, n)$$

$$\Rightarrow V = \{ (x, T_x \Sigma) : x \in \Sigma \}$$

Advantage: Can talk about 1st variation!

Some related notions of varifolds:

- $V_i \rightarrow V$ as varifolds if they converges as Radon measures, i.e.

$$\forall \varphi \in C_c(G^k(U)). \int_{G^k(U)} \varphi(x, \pi) dV_i(x, \pi) \longrightarrow \int_{G^k(U)} \varphi(x, \pi) dV(x, \pi)$$

- \exists Radon measure $\|V\|$, called the **weight of V** , on U s.t.

$$\|V\| = \pi_* V, \text{ i.e. } \forall f \in C_c(U).$$

$$\int_U f(x) d\|V\|(x) = \int_{G^k(U)} f(x) dV(x, \pi)$$

- $\text{Supp}(\|V\|) =$ smallest closed set outside which $\|V\|$ vanishes identically

- **mass** of V in $U := \|V\|(U)$

Fact 1: Mass of varifolds is cts w.r.t. the varifold topology.

Remark: Given a smooth embedded k -submfd $\Sigma \subseteq U$,

we can associate to it a k -varifold $|\Sigma|$ as follow:

$$\forall \varphi \in C_c(G^k(U)).$$

$$\int_{G^k(U)} \varphi(x, \pi) d|\Sigma|(x, \pi) := \int_{\Sigma} \varphi(x, T_x \Sigma) d\mathcal{H}^k(x)$$

even allowing multiplicities:

$$V = \sum_i n_i |\Sigma_i|$$

where $n_i \in \mathbb{N}$
 $\Sigma_i \subseteq U$ smooth embedded k -submfd.

"integral varifolds" \leftarrow has good compactness properties.

1st variation of varifold

Let V be a k -varifold in $U \subseteq \mathbb{R}^n$,

$\Psi: U \rightarrow U$ be a diffeomorphism on U .

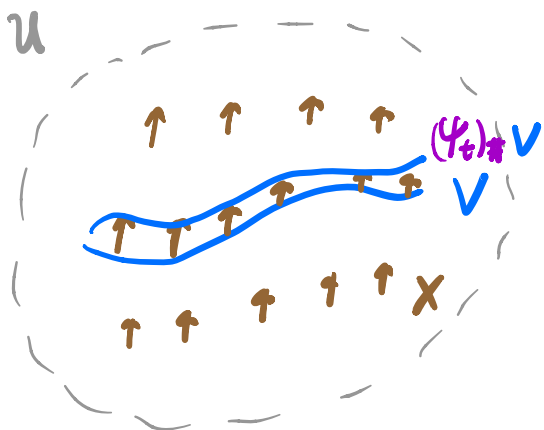
We can define the **pushforward varifold** $\Psi_{\#}V$, which is a k -varifold on U , as follows: $\forall \varphi \in C_c(G^k(U))$.

$$\int_{G^k(U)} \varphi(y, \sigma) d(\Psi_{\#}V)(y, \sigma) := \int_{G^k(U)} \varphi(\Psi(x), (d\Psi)_{*}(\pi)) \underbrace{J\Psi(x, \pi)}_{\text{Jacobian}} dV(x, \pi)$$



Suppose X is a cptly supported vector field in $U \subseteq \mathbb{R}^n$ and it generates $\{\Psi_t\}_{t \in \mathbb{R}}$, 1-parameter family of diffeos. of U

s.t. $\Psi_0 = \text{id}_U$ and $\frac{\partial}{\partial t} \Big|_{t=0} \Psi_t = X$



Defⁿ: $\delta V(X) := \frac{d}{dt} \Big|_{t=0} \|(\Psi_t)_{\#}V\|(U)$

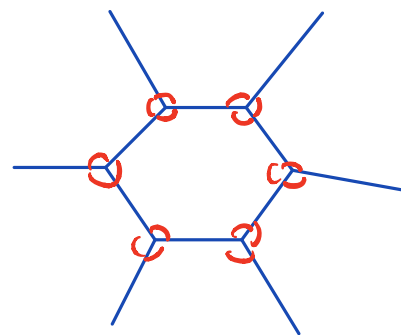
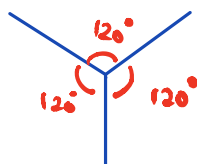
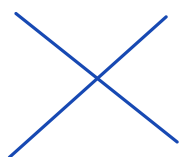
$$= \int_{G^k(U)} \underbrace{\text{div}_{\pi} X(x)}_{= \sum_{i=1}^k \langle D_{e_i} X, e_i \rangle(x)} dV(x, \pi) \quad [\text{e}_i \text{ o.n.B. for } \pi]$$

σ
1st variation formula for varifolds.

Defⁿ: V is **stationary** in \mathcal{U} if $\delta V(X) = 0 \quad \forall X$.

Example: any k -submfd minimal is stationary.

"Stationary 1-varifolds in \mathbb{R}^2 "



Facts about stationary varifolds (c.f. L. Simon)

(i) Monotonicity Formula: $\frac{\|V\|(B_r(x))}{r^k} \uparrow$ in r

(ii) $\Theta(x, V) := \lim_{r \searrow 0} \frac{\|V\|(B_r(x))}{\sigma_k r^k}$ well-defined.

[Idea: $\Theta = 1 \Rightarrow V$ is smooth]

(iii) Allard Regularity: " $\Theta \approx 1 \Rightarrow V$ is a $C^{1,\alpha}$ k -submfd."

(iv) Rectifiability Thm: V stationary, $\Theta(x, V) > 0$ for $\|V\|$ -a.e x
 $\Rightarrow V$ is rectifiable.

Summary: Θ contains useful information about regularity.

Continuous Min-Max Theory on 3-manifolds

[Ref: L. Simon, & F. Smith '80s, Colding - De Lellis '03]

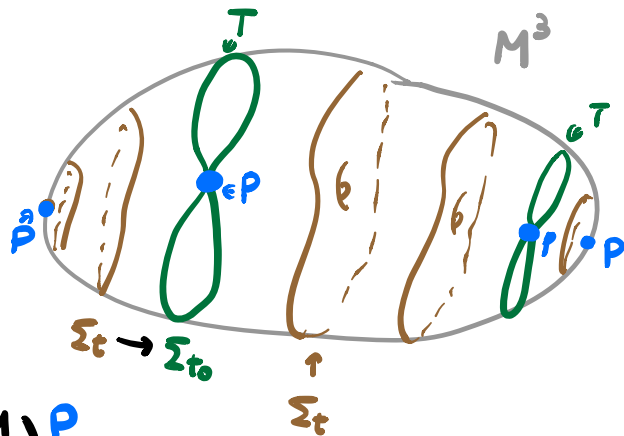
Setup: (M^3, g) closed Riem. mfd

$\text{Diff}_0 := \text{id}_M$ component of $\text{Diff}(M)$.

Defⁿ: $\{\Sigma_t\}_{t \in [0,1]}$ is a **generalized family of surfaces**

if \exists finite subsets $T \subseteq [0,1]$ & $P \subseteq M$ s.t.

- $t \mapsto \mathcal{H}^2(\Sigma_t)$ cts
- $\Sigma_t \rightarrow \Sigma_{t_0}$ in "Hausdorff" topology as $t \rightarrow t_0$
- Σ_t is smooth embedded $\forall t \notin T$
- $\forall t \in T$, Σ_t is smooth embedded in $M \setminus P$

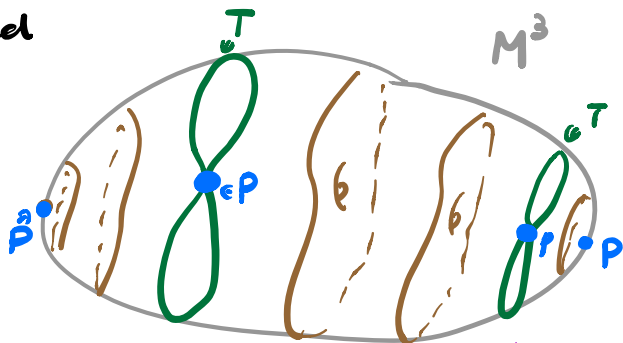


Fact: Given $\{\Sigma_t\}$ as above, and

$\Psi: [0,1] \times M \rightarrow M$ s.t. $\Psi(t, \cdot) \in \text{Diff}_0 \forall t \in [0,1]$

then $\{\Sigma'_t := \Psi(t, \Sigma_t)\}$ is another generalized family

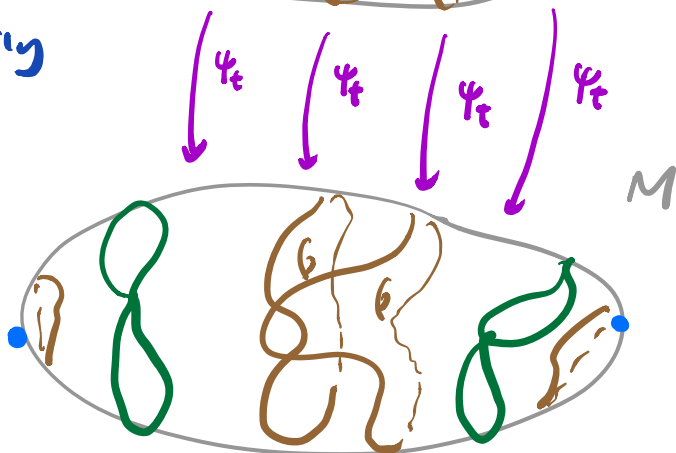
We say a collection Λ of generalized family is **saturated** if it is closed under such operation.



Defⁿ: Given such a saturated

collection Λ of generalized family of surfaces, define

$$m_0(\Lambda) := \inf_{\{\Sigma_t\} \in \Lambda} \left(\max_{t \in [0,1]} \mathcal{H}^2(\Sigma_t) \right)$$



Terminology:

- **minimizing seq.** $\{\Sigma_t^n\}_{n \in \mathbb{N}}$ of generalized family if $\max_{t \in [0,1]} \mathcal{H}^2(\Sigma_t^n) \rightarrow m_0$ as $n \rightarrow \infty$.
- **min-max seq.** $\{\Sigma_{t_n}^n\}_{n \in \mathbb{N}}$ of surfaces if $\mathcal{H}^2(\Sigma_{t_n}^n) \rightarrow m_0$ as $n \rightarrow \infty$



Min-Max Thm: \exists min-max seq. $\{\Sigma_{t_n}^n\}_{n \in \mathbb{N}}$ of surfaces s.t.

- (i) $\Sigma_{t_n}^n \rightarrow V$ as varifolds
- (ii) V is a stationary integral varifold and

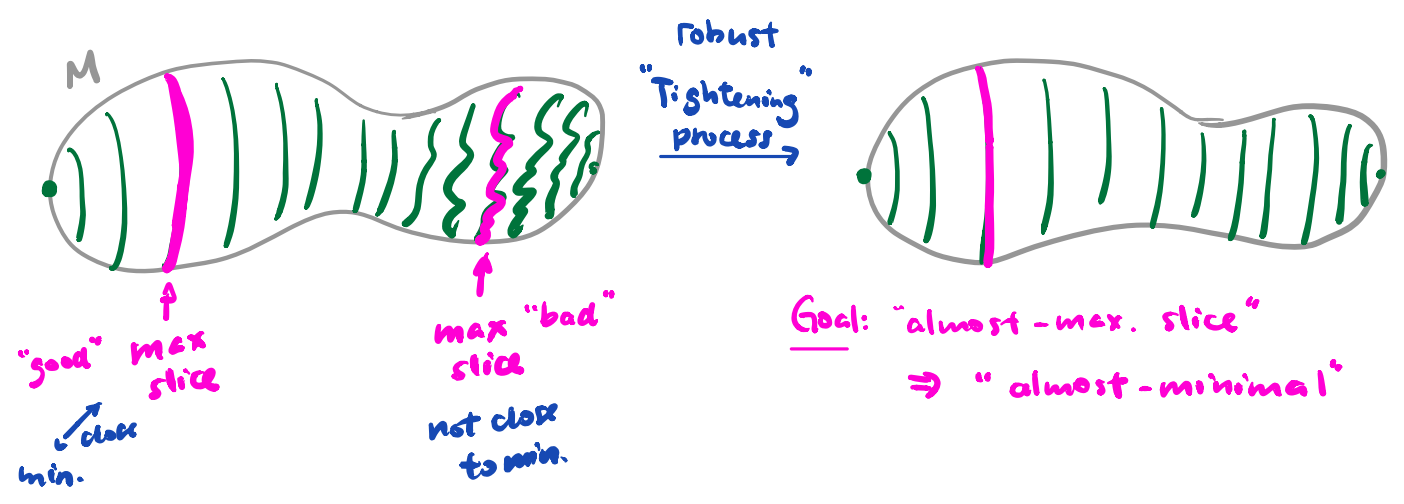
$$V = m_1 |T_1| + \dots + m_g |T_g|$$

where $m_i \in \mathbb{N}$, $T_i \subseteq M$ smooth embedded min. surface.

"Rough Ideas of the Proof":

(i) "Existence of stationary varifolds" (works in any dim / ∞ -dim)

Problem? Slices with area $\approx m_0$ may not be "close" to a min. surface.



Solution: \exists min. seq. $\{\Sigma_t^n\} \subseteq \Lambda$ st. **EVERY** min-max seq. $\{\Sigma_{t_n}^n\}$ has subseq. converging weakly to a stationary varifold.

(ii) "Regularity"

The key variational argument is the "almost-minimizing property".

Defⁿ: Let $\epsilon > 0$, small, and $U \subseteq M$ open.

- A surface $\Sigma \subseteq M$ is ϵ -a.m. in U if \nexists isotopy Ψ supported in U s.t.

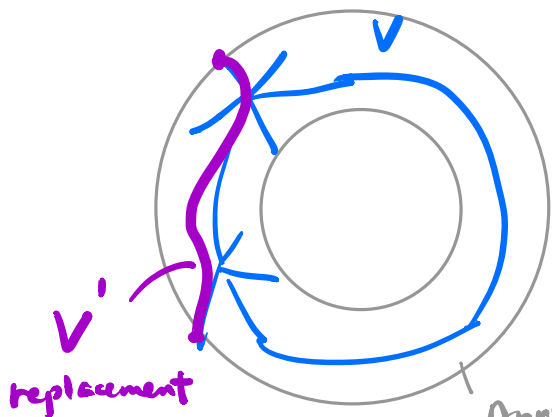
$$(1) H^2(\Psi(t, \Sigma)) \leq H^2(\Sigma) + \epsilon/8 \quad \forall t \in [0, 1]$$

$$(2) H^2(\Psi(1, \Sigma)) \leq H^2(\Sigma) - \epsilon$$

- A seq $\{\Sigma^n\}$ is a.m. in U if each Σ^n is ϵ_n -a.m. in U with $\epsilon_n \searrow 0$.



Prop: \exists min-max seq. $\{\Sigma_t^n\}$ which a.m. in small annuli.



- V' has the same mass as V
- V' in Ann is a smooth min surface

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